



H-996

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

J. KITAHARA et al

Serial No. 09/889,410

Filed: July 17, 2001

For: INFORMATION PROCESSING APPARATUS

INFORMATION DISCLOSURE STATEMENT (IDS)  
UNDER § 1.97 AND § 1.98

Commissioner for Patents  
Washington, D.C. 20231

Sir:

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1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

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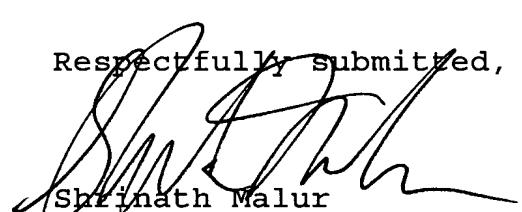
3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed (needed for U.S. and foreign patents, publication or portion thereof listed; no copy of a U.S. patent application is needed; a copy of a document is not needed when previously submitted or previously cited by the PTO in a parent application of the present application as set forth under 35 U.S.C. § 120).

4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

5. No explanation of relevancy is being provided for the documents listed in the attached PTO-1449 Form, because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application, copy enclosed.

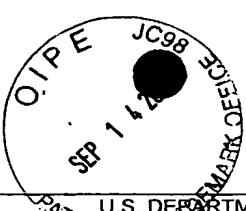
6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,



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Date: September 14, 2001



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Sheet 1 of 1

FORM PTO-1449 (REV. 7-80)	U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. H-996	SERIAL NO. 09/889, 410
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT J. KITAHARA et al	
		FILING DATE July 17, 2001	GROUP

## U. S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
AA						
AB						
AC						RECEIVED
AD						JAN 04 2002
AE						Technology Center 2100
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO
AL	04-163768	6/9/92	Japan				<input type="checkbox"/>	<input type="checkbox"/>
AM	05-53921	3/5/1993	Japan				<input type="checkbox"/>	<input type="checkbox"/>
AN							<input type="checkbox"/>	<input type="checkbox"/>
AO							<input type="checkbox"/>	<input type="checkbox"/>
AP							<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR		
AS		
AT		
EXAMINER		DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

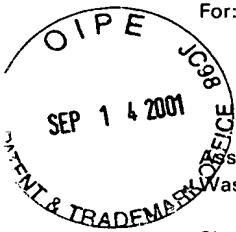
In RE application of J. KITAHARA et al  
Serial No.: 09/889,410

Group Art Unit:

Filed: July 17, 1901

Examiner:

For: INFORMATION PROCESSING APPARATUS



Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application.

Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(COL. 1)	(COL. 2)	(COL. 3)
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra
Total	• 12	Minus	• 20 = 0
Indep. • 2 Minus • 3 = 0			
<input type="checkbox"/> First Presentation of Multiple Dependent Claims			

SMALL ENTITY	
Rate	Additional Fee
x 9	\$
x 40	\$
+ 135	\$
Total	\$

OR

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
x 18	\$ 0
x 80	\$ 0
+ 270	\$ 0
Total	\$ 0

OR

- If the entry in Col. 1 is less than the entry in Col. 2, write '0' in Col. 3.
- If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 20, write '20' in this space.
- If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 3, write '3' in this space.
- The 'Highest Number Previously Paid For' (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior Amendment or the number of claims originally filed.

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Please charge my Deposit Account No. 50-1417 in the amount of \$ \_\_\_\_\_.

A check in the amount of \$ \_\_\_\_\_ is attached in payment of: \_\_\_\_\_.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1417.

Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

Any patent application processing fees under 37 CFR 1.17.

Any Extension of Time fees that are necessary, which are hereby requested if necessary.

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